PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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Under the Paperwork Reduces.	Attome	y Docket No.	2590520	003700			
UTUITV	First In		Akiho Y	OSHIZAWA	<u>`</u>		
UTILITY PATENT APPLICATION TRANSMITTAL	OPTICAL PICKUP DEVICE AND METHOD AND APPARATUS FOR ASSEMBLING THE SAME				<u></u>		
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Expres	ss Mail Label No.	EV 332	777 488 US	3	sig	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application co	MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
1. X Fee Transmittal Form (e.g., PTO/SB/17) (2 pages) (Submit an original, and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification [Total Pages] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets] 5. Oath or Declaration [Total Sheets] 5. Oath or Declaration [Total Sheets] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) In the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	8. Comp 8. Nucleotide (if applicat) a. Cor b. Specific c. Sta 9. X Assig 10. 37 C (whe 11. Eng 12. Infor 12. X (iDS) 13. Prel 14. X Ret 15. X (infor infor 16. Non Appl	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. X Assignment Papers (cover sheet & document(s)) (2 pages) 10. 37 CFR 3.73(b) Statement Power of Attorney 11. English Translation Document (if applicable) 12. X Information DisclosureStatement (if applicable) 13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. X Certified Copy of Priority Document(s) (32 pages) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
6. X Application Data Sheet. See 37 CFR 1.76 (2 pages) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation							
reference. The incorporation <u>can only</u> be relied upon whe	CORRE	SPONDENCE A	DRESS				
25		OR	Corresponder	nce address be	low		
X Customer Number: 252							
Address				7in Codo			
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Name (Print/Type) Alan S. Hodes Registration 10. 1						2003	
Signature Date October 29, 2003							
I hereby certify that this correspondence is being US, in an envelope addressed to: MS Patent App the date shown below.		with the U.S. Pos Commissioner for	tal Service a Patents, P.	/	Airbill No. EV kandria, VA 23 ara Alcaraz)	332 777 488 2313-1450, on	
Dated: 10 VI (0 F) Signa	ture:	$ \bigvee$	7				

	Compl t if Known				
FEE TRANSMITTAL	Application Number Not Yet Assigned				
	Concurrently Herewith				
for FY 2004	Filing Date				
Effective 10/01/2003, Patent fees are subject to annual revision.	First Named Inventor Akino YOSHIZAWA Examiner Name Not Yet Assigned				
	Not Yet Assigned				
Applicant claims small entity status. See 37 CFR 1.27	Art Unit				
TAL AMOUNT OF PAYMENT (\$) 810.00	Alloney boater ve.				
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit Money Order Other None	3. ADDITIONAL FEES				
X Deposit Account:	Large Entity Small Entity				
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ne Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification				
X Charge fee(s) indicated below X Credit any overpayments	2 520 For filing a request for ex parte reexamination				
Charge any additional fee(s) during the pendency of this	Requesting publication of SIR prior to				
appnouse.	1804 920* 1804 920* Examiner action Examiner action 1804 920* Requesting publication of SIR after				
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o the above-identified deposit account.	1251 110 2251 55 Extension for reply within first month				
FEE CALCULATION	1252 420 2252 210 Extension for reply within second month 1253 950 2253 475 Extension for reply within third month				
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Fee Fee Fee Fee Fee Description Fee Pald					
Code (\$) Code (\$) 770.00	1255 2,010 2255 1,005 Extension for reply within fifth month				
2000 170 Design filing fee	1401 330 2401 165 Notice of Appeal				
1002 340 2002 170 Bessyr IIII 9 1003 1003 530 2003 265 Plant filing fee	2402 445 Request for oral hearing				
1004 770 2004 385 Reissue filing fee	1451 1510 1451 1.510 Petition to institute a public use proceeding				
1005 160 2005 80 Provisional filing fee	1452 110 2452 55 Petition to revive – unavoidable				
SUBTOTAL (1) (\$) 770.00	1453 1,330 2453 665 Petition to revive - unintentional				
	1501 1,330 2501 665 Utility issue fee (or reissue)				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
Claims Below = 0.00	1503 640 2503 320 Plant issue fee				
Total Claims 11 20 86.00 = 0.00	1460 130 1460 130 Petitions to the Commissioner				
Claims	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				
Multiple Dependent	1806 180 1806 180 Submission of Information Disclosure Strnt Recording each patent assignment per				
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description	1 and 40 1 8021 40 arenaty (times number of properties)				
Code (\$) Code (\$)	1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))				
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	For each additional invention to be				
145 Multiple dependent claim, if not paid	examined (5/Cirk Continued Examination (RCE)				
1203 204 43 ** Reissue independent claims	Request for expedited examination				
over original patent	1802 900 1802 900 of a design application				
1205 18 2205 9 Reissue claims in excess 5 25 and over original patent	Other fee (specify) Other fee (specify) Substituting Fee Paid Substituting Subst				
SUBTOTAL (2) (\$) 0.00					
**or number previously paid, if greater; For Reissues, see above	(Complete (if applicable))				
SUBMITTED BY	(650) 813-5622				
Name (Print/Type) Alan S. Hodes	(Attorney/Agent)				
I Name (Pliny Type) (Priorit St. 1997)	Date October dy, 2003				